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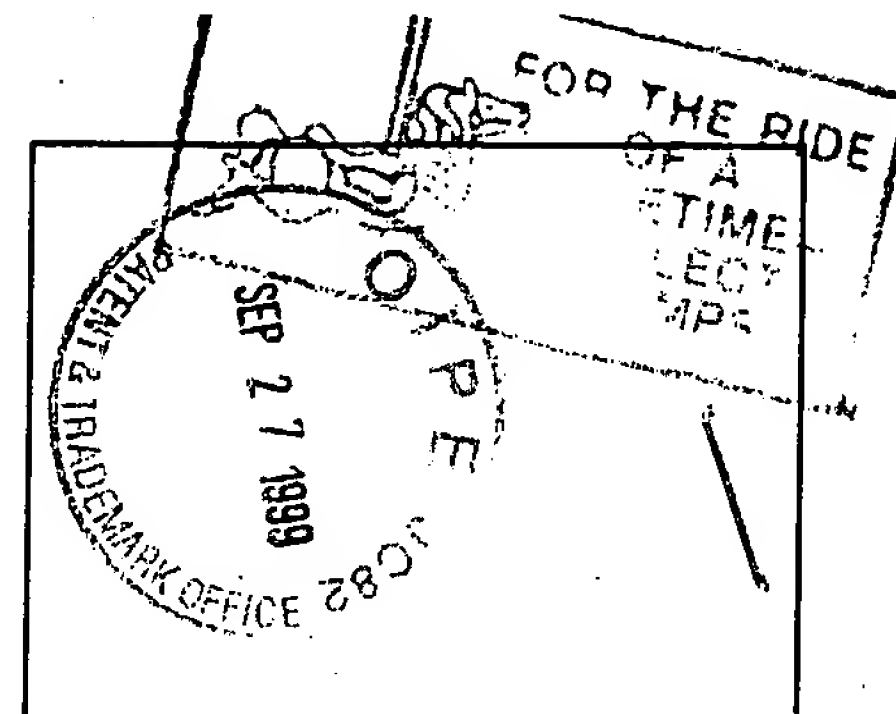
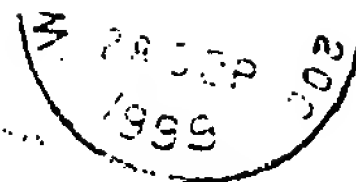
TECH CENTER 1600/2900

Please date stamp and return to addressee on reverse side

Applicant(s): Stanton / Gerson

Serial No.: 09/321,655 Filed: 5/28/99

Title (or mark): Hematopoietic Progenitor Cell Gene Transduction



DOCUMENTS ENCLOSED

☐ Application sheets: Descr.: _____
Drawing sheets: _____

☒ Declaration (executed 9-21-99)

☐ Verified Statement Claiming Small Entity Status

☐ Response to OA dated _____

☒ One (2) Mo. Ext. of Time

☐ Other _____

☐ Formal Drawing _____ sheets

First class mail

Certificate Dated: _____

Docket No.: 640100-304

Claims _____ Abstract _____

☐ Formal ☐ Informal

☒ Assignment to Case Western University
executed 9-21-99

☐ Type of OA: _____

☐ Inform. Discl. Statement

☒ C: of Notice to File Missing Parts
of Application

☒ Check Amount \$ 280.00 No. 1091

Express Mail Label No.: _____

Deposited on: _____

Atty.: R. Little

9/30/99

DATE RECEIVED	9/30/99
DATE ENTERED	
1	
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5	
6	

9/30 Pm

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Atty.: R. Lillie

RE: **Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart & Olstein P.A.**
ATTORNEY BUSINESS ACCOUNT REIMBURSEMENT ACCOUNT
6 BECKER FARM ROAD, ROSELAND, NEW JERSEY 07068

021973

LINDA

640100-304

HON COMM OF PATENTS

696

2 REIMBURSEMENT ADVANCED

9/23/99

1097

280.00 005000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Patent Examining Operations

Applicant(s): Stanton L. Gerson
Serial No: 09/321,655 Art Unit: 3738
Filed: May 28, 1999 Examiner: Unassigned
Title: Hematopoietic Progenitor Cell Gene Transduction
Attorney Docket No.: 640100-304

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

1. Executed Declaration and Power of Attorney;
2. Executed Assignment;
3. Assignment cover form
4. Copy of Notice to File Missing Parts;
5. Petition for Extension of Time (1 month);
6. Check No. 1097 in the amount of \$ 280.00; and
7. A self-addressed, postage paid, return receipt postcard, date stamp and return of which is respectfully requested.

The Commissioner is authorized to charge payment of any additional filing fees required under 37 C.F.R. 1.16 associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

FIRST CLASS CERTIFICATE

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service as First Class Mail in an envelope addressed to:
Assistant Comm. for Patents
Washington, D.C. 20231
Raymond J. Lillie
Raymond J. Lillie, Esq.
Date: 9/23/99

Respectfully submitted,

Raymond J. Lillie

Raymond J. Lillie, Esq.
Reg. No. 31,778
CARELLA, BYRNE, BAIN,
GILFILLAN, CECCHI, STEWART & OLSTEIN
6 Becker Farm Road
Roseland, NJ 07068
Tel. No.: (201) 994-1700
Fax No.: (201) 994-1744
Attorney for Applicant(s)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
49/321,655	05/28/99	GERSON	8 640100-304

0292/0623

ELLIOT M. OLSTEIN ESQ
C O CARELLA BYRNE BAIN GILFILLAN
CECCHI STEWART & OLSTEIN
6 BECKER ROAD
ROSELAND NJ 07068

NOT ASSIGNED

3738

DATE MAILED:

06/23/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202